#### Notice to those with k

[<<Insert Name of

<< insert date>>

[By post/email]

Dear << insert name >>,

## Statutory Notice: Register of P notice to an individual under se

In accordance with Part 21A of Partnerships (Register of Peorula "Legislation"), we are under a danyone we have reasonable caus or relevant legal entity under the L

We have the power to request in cause to believe knows the identit or knows someone likely to have t

For your information, brief detail attached as an Annex to this lette correspondence.

We therefore require you to provabove], within one calendar mo concerning your knowledge of in respect of the LLP:

- whether you know the idel entity, whether registrable anyone likely to have that particulars below that are particulars are being supprentities concerned:
  - [Name]
  - [Usual residential a
  - [Country, state or resident]
  - [Service address if
  - [Nationality]
  - [Date of Birth]
  - [Any other means d



#### no interest in the LLP

ship>>] (the "LLP")



# Control in relation to a LLP – anies Act 2006

2006, and the Limited Liability ontrol) Regulations 2016<sup>1</sup> (the n information and give notice to as a person of significant control to LLP.

vho we know or have reasonable cant control or relevant legal entity

ion and registrable interests are ter understand the context of this

by email to the address shown notice, the following information ing of the Legislation), if any, in



ch a registrable person or a legal e meaning of the Legislation, or ther case, to provide any of the e, stating whether or not these of each of the persons or legal

dom in which they are normally

or entity]; and

006 (as inserted by the Small Business Enterprise ons 2016, to LLPs with modifications.

1

<sup>&</sup>lt;sup>1</sup> These regulations apply Part 21A of, and Schedule and Employment Act 2015), and the Register of Ped

- 2) if you believe they fall with 2006:
  - [Date on which they
  - [The nature of their

You should be aware that failure disclose false information, is ar Companies Act 2006 subject to both).

Full details of your obligations can

Yours faithfully,

<< Insert Name of Designated Mer

For and on behalf of:

<< Insert Name of Limited Liability

on 790D(6) of the Companies Act

erson in relation to the LLP]; and defined in the Legislation].

ion required by this notice, or to aph 13 of Schedule 1B to the rears imprisonment, or a fine (or

v.uk website.



P



# Brief overview of the Legislati ("PSCs") in rel

The below is a brief summary of people with significant control. Thi sent you and is for information or such.

Further information is available of who will be able to advise you.

- The Small Business, Entereformed UK company last SBEE into the Companie companies and LLPs to control over them. This aist companies and LLPs as well.
- The statutory PSC register control a company or LLP
- Broadly the only exempt Stock Exchange (and AIM and transparency rules.

### The **new requirements** require al

- Keep a PSC register;
- Take reasonable steps to register;
- Record the PSC's details a
- Make the register available
- From 30th June 2016, prov

Even if a LLP has no interests sanctions apply for non-compliand

#### **Identifying PSCs**

A PSC in relation to a LLP is an in

- directly or indirectly holdin winding up;
- directly or indirectly holding
- directly or indirectly holdi involved in management;
- otherwise having the right control; or

### people with significant control y Partnerships

ing to the keeping of a register of u in replying to the notice we have be and should not be relied on as

ite. If in doubt contact a solicitor

ct 2015 ("SBEE") has materially nificant changes inserted by the d from 6<sup>th</sup> April 2016, for all UK tion about people with significant ency about the ownership of UK and money laundering.

dividuals who ultimately own and of their holdings made public.

heir shares listed on the London eir own stringent set of disclosure

þ:

lould be registered on the PSC

o date;

Ы

Companies House.

st still keep a register. Criminal

y of the following conditions:

25% of the surplus assets on a

oting rights;

or remove the majority of those

exercising, significant influence or

 holding the right to exerc over the activities of a trust of the first four tests in rela

The Government has provided swhich can be accessed at <a href="https://www.gc">www.gc</a>

The provisions also recognise the with control, if such legal entity we relation to the LLP if it were an ir requirements, it must be included

The details of what needs to be re

### Why are we sending you this no

A LLP must take reasonable ste giving notice to any registrable PS registrable. This can also include the LLP knows or has reasona knows someone likely to have t

PSCs are also under an obligation LLP up to date.

Failure to comply with such duties

g, significant influence or control lentity, but would itself satisfy any an individual.

he meaning of significant control

ntities (as opposed to individuals) of the conditions listed above in ty is subject to its own disclosure

egister are set out in the Notice.

m registrable PSCs, including by reasonable cause to believe to be ting information to anyone who knows the identity of a PSC or

e LLP of their status and keep the



