[<<Insert Com

ne "Company")

<<Insert Date>>

[By post/email]

Dear <<Insert Name>>1 on behalf

Statutory Notice: Register of Flegal entity ("RLE") under section

In accordance with Part 21A of t Significant Control Regulations 2 investigate, obtain information an cause to believe may be a reg reasonable cause to believe that under the Legislation.

For your information, further brief are attached as Annex 2 to this le notice and why we are writing to y

We therefore require you to con above] to the Company as soon a calendar month after the date of interest, if any, in the company:

- whether <<Insert RLE Cor the Legislation;
- if so, whether <<Insert RLI
- whether the required par <<Insert RLE Company I complete; and
- if Annex 1 is incorrect or in

If <<Insert RLE Company Name provide us with the relevant contains

If <<Insert RLE Company Names whether you know the identity of the meaning of section 790D(6) or knowledge and, in either case, p your knowledge, stating whethe knowledge of each of the persons

hy Name>>,

Control – notice to a relevant ies Act 2006

and the Register of People with the Company is under a duty to ity the Company has reasonable Legislation. The Company has y Name>> is a registrable entity

gislation and registrable interests ter understand the context of this

by email to the address shown ent by <<Insert Date>>, being one ving information concerning your

strable RLE within the meaning of

is the interest on its own behalf; nex 1 to this Notice setting out terest are accurate, correct and

d complete information.

behalf of another party², please

ble legal entity, please inform us ntity or a registrable person within 6, or of anyone likely to have that above particulars that are within ars are being supplied with the ed.

¹ This will most likely be addressed to a director of

² This may be an individual or a relevant legal entity

You should be aware that failure disclose false information, is ar Companies Act subject to a pena addition, the Company may take have complied with this notice or SO.

Full details of your obligations can

We enclose a pro-forma reply slip promptly as possible.

We look forward to hearing from y

Yours faithfully,

<<Insert

For and on behalf of³:

Comp

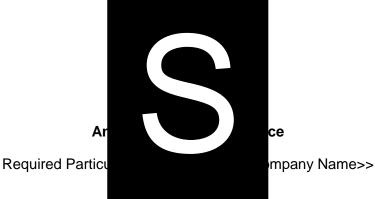
ion required by this notice, or to aph 13 of Schedule 1B to the prisonment, or a fine (or both). In ntrol rights until such time as you ufficient to justify your failure to do

v.uk website.

assist you in responding to us as

ame Limited>>

³ This must be signed by a director or secretary of t



Name of legal entity, i.e corpora name

Registered or principal office ad

Legal form of entity and law by governed

If applicable, any register in whi appears (including details of the and its registration number⁴

Date RLE first became registrab

Nature of control⁶

Insert Relevant Details>>



or overseas (the central public register of

rded will be 6th April 2016. ust identify which of the conditions are relevant ance Note. The official wording must be used.

 $^{^{4}}$ If the RLE is entered on another register in the UK companies in another country), this must be noted

⁵ For companies incorporated prior to the regime c

⁶ There are 5 conditions set out in the legislation fo and include all of these. For information on relevan

S

Brief overview of the Legislati

The below is a brief summary of people with significant control. Thi sent you and is for information or such. If in doubt contact a solicitor

Further information is available the

- The Small Business, Entereformed UK company last SBEE into the Companie companies (& LLPs) to control over them. This aist companies as well as tack
- The statutory PSC register control a company are ider
- Broadly the only exempt c Stock Exchange (and AIM and transparency rules.

The **new requirements** require co

- Keep a PSC register;
- Take reasonable steps to register;
- Record the PSC's details a
- Make the register available
- From 30th June 2016, prov

Even if a company has no intereregister. Criminal sanctions apply

Identifying PSCs

A PSC is an individual who satisfie

- directly or indirectly holds r
- directly or indirectly holds r
- directly or indirectly holds directors of the company;
- has the right to exercise, the company; or

people with significant control

ing to the keeping of a register of u in replying to the notice we have ce and should not be relied on as se you.

ct 2015 ("SBEE") has materially nificant changes inserted by the different from 6th April 2016, for all UK ion about people with significant ency about the ownership of UK / laundering.

dividuals who ultimately own and holdings made public.

their shares listed on the London eir own stringent set of disclosure

ould be registered on the PSC

o date;

a

Companies House.

is dormant), it must still keep a

nditions:

res in the company;

ing rights in the company;

emove a majority of the board of

unificant influence or control over

 has the right to exercise, the activities of a trust or fi the first four tests in relatio

The Government has provided swhich can be accessed at www.gc

The provisions also recognise the with control, if such a legal entity relation to the company if it we disclosure requirements, it must b

The details of what needs to be relevant legal entity are set out in .

Why are we sending you this no

A company must take reasonable including by giving notice to an reasonable cause to believe to requesting information on anyon believe knows the identity of a PS

PSCs/RLEs are also under an ol and keep the company up to date.

Failure to comply with such duties

gnificant influence or control over ty which would itself satisfy any of e an individual.

ne meaning of significant control

ntities (as opposed to individuals) e of the conditions listed above in legal entity is subject to its own y's PSC register.

y's PSC register in relation to a

hfirm registrable PSCs and RLEs, LE the company knows or has can also include giving notices ows or has reasonable cause to e likely to have that knowledge.

notify the company of their status



