[<<Insert Name of

ship>>] (the "LLP")

<< insert date>>

[By post/email]

Dear << insert name of PSC >>,

Statutory Notice: Register of P Notice to an individual under se

In accordance with Part 21A of Partnerships (Register of Peorula "Legislation"), we are under a dut as we have reasonable cause to the LLP under the Legislation.

For your information, further brief are attached as Annex 2 to this le notice and why we are writing to y

We therefore require you to con above] to the LLP as soon as pe calendar month after the date of interest, if any, in respect of the LL

- whether or not you are a re
- if so, whether the required your registrable interest are
- if Annex 1 is incorrect or in

If you are not such a registrable anyone who is such a registrable within the meaning of section 790 that knowledge and, in either casyour knowledge, stating whethe knowledge of each of the persons

You should be aware that failure disclose false information, is ar Companies Act 2006 subject to both). In addition, the LLP may ta

Control in relation to a LLP – banies Act 2006

2006, and the Limited Liability ontrol) Regulations 2016¹ (the nformation and give notice to you a registrable person in respect of

gislation and registrable interests tter understand the context of this

by email to the address shown t by << insert date>>, being one ving information concerning your

ne meaning of the Legislation;

Annex 1 to this Notice setting out omplete; and

d complete information.

whether you know the identity of whether registrable or otherwise, Act 2006, or anyone likely to have of their particulars that are within ars are being supplied with the ed.

ion required by this notice, or to aph 13 of Schedule 1B to the rears imprisonment, or a fine (or r control rights until such time as

¹ These regulations apply Part 21A of, and Schedule and Employment Act 2015), and the Register of Ped

006 (as inserted by the Small Business Enterprise ons 2016, to LLPs with modifications.

you have complied with this notice to do so.

Full details of your obligations can

We look forward to hearing from y

Yours faithfully,

<<Insert Name of Designated Mer

For and on behalf of:

<<Insert Name of Limited Liability

S

son sufficient to justify your failure

<u>v.uk</u> website.

A

> in relation to the LLP

Name

Service Address (if applicable)

Required Particulars fo

Country/State/Part of UK where

Nationality

Date of Birth

Usual residential address

Date first became registrable in the LLP²

Nature of control over the LLP³

Restrictions in force⁴



insert relevant details>> insert relevant details>>

insert relevant details>>

insert relevant details>>

² For existing LLPs completing a PSC register for the ³ There are 5 conditions set out in the legislation fo conditions are relevant and include all of these. For

wording must be used. $^{\rm 4}$ If the individual has applied to the registrar of cor must be stated.

be 6th April 2016.

The PSC register must identify which of the please see our PSC Guidance Note. The official

disclosure of these particulars to be restricted, this



Brief overview of the Legislati ("PSCs") in rel

The below is a brief summary of people with significant control. Thi sent you and is for information or such.

Further information is available of who will be able to advise you.

- The Small Business, Entereformed UK company last SBEE into the Companie companies and LLPs to control over them. This aist companies and LLPs as well.
- The statutory PSC register control a company or LLP
- Broadly the only exempt Stock Exchange (and AIM and transparency rules.

The **new requirements** require al

- Keep a PSC register;
- Take reasonable steps to register;
- Record the PSC's details a
- Make the register available
- From 30th June 2016, prov

Even if a LLP has no interests sanctions apply for non-compliand

Identifying PSCs

A PSC in relation to a LLP is an in

- directly or indirectly holdin winding up;
- directly or indirectly holding
- directly or indirectly holding involved in management;
- otherwise having the right control; or

people with significant control y Partnerships

ing to the keeping of a register of u in replying to the notice we have be and should not be relied on as

ite. If in doubt contact a solicitor

ct 2015 ("SBEE") has materially nificant changes inserted by the different from 6th April 2016, for all UK tion about people with significant ency about the ownership of UK and money laundering.

dividuals who ultimately own and of their holdings made public.

heir shares listed on the London ir own stringent set of disclosure

ρ.

ould be registered on the PSC

o date:

d

Companies House.

st still keep a register. Criminal

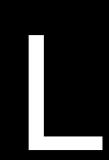
y of the following conditions:

25% of the surplus assets on a

oting rights;

or remove the majority of those

exercising, significant influence or



 holding the right to exerci over the activities of a trust of the first four tests if it we

The Government has provided swhich can be accessed at www.gc

The provisions also recognise the with control, if such legal entity we relation to the LLP if it were an ir requirements, it must be included

The details of what needs to be re

Why are we sending you this no

A LLP must take reasonable ste giving notice to any registrable PS registrable. This can also include LLP knows or has reasonable of someone likely to have that knowle

PSCs are also under an obligation LLP up to date.

Failure to comply with such duties

g, significant influence or control entity, but would itself satisfy any

ne meaning of significant control

ntities (as opposed to individuals) of the conditions listed above in ty is subject to its own disclosure

egister are set out in Annex 1.

m registrable PSCs, including by reasonable cause to believe to be ig information to anyone who the the identity of a PSC or knows

e LLP of their status and keep the

